



TOP-UP Facility in existing Systematic Investment Plan (SIP)

UNITHOLDER'S DETAILS

FOLIO No.

Sole/First Applicant (Mr./Ms.): FIRST NAME MIDDLE NAME LAST NAME

DETAILS OF EXISTING SIP INVESTMENT WHERE IN TOP-UP HAS TO BE DONE

Plan (✓): Direct Regular
SIP Frequency (✓): Monthly Quarterly

Scheme Name: **ICICI Prudential** Option/Sub-Option:

Each SIP amount: ₹ Rupees in words:

SIP Date (✓): 1st 7th 10th 15th 20th 25th SIP Start Month & Year: SIP End Month & Year:

SIP TOP-UP DETAILS

TOP-UP Frequency (✓): Half Yearly Yearly (In case of Quarterly SIP, only the Yearly option is available as SIP TOP-UP frequency)
(If TOP-UP frequency is not selected, then the default option will be Yearly.)

SIP TOP-UP Amount: ₹ (TOP-UP Amount has to be in multiples of ₹ 500 only.)

SIP TOP-UP CAP
Cap Amount*: ₹ OR Cap Month-Year#:
(Investor has to choose only one option – either CAP Amount or CAP Month-Year)

*TOP-UP CAP Amount: Investor has an option to freeze the SIP Top up amount once it reaches a fixed predefined amount. This amount should be same as the maximum amount mentioned in the bank mandate. In case of discrepancy between the CAP Amount & the maximum amount then whichever is lower shall be considered as the default amount of SIP till the end of SIP tenure.

#TOP-UP CAP Month-Year: It is the date from which SIP Top-Up amount will cease and last SIP installment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

Sole/First Applicant Second Applicant Third Applicant

SIP NACH DEBIT MANDATE



UMRN **FOR OFFICE USE ONLY** Date

Tick (✓) CREATE MODIFY CANCEL
Sponsor Bank Code **FOR OFFICE USE ONLY** Utility Code **FOR OFFICE USE ONLY**

I/We hereby authorize **ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED** to debit (tick ✓) SB/CA/CC/SB-NRE/SB-NRO/Other

Bank a/c number

with Bank Name of customers bank IFSC or MICR

an amount of Rupees Maximum Amount (Rupees in words) ₹

FREQUENCY Mthly Qtly H-Yrly Yrly As & when presented DEBIT TYPE Fixed Amount Maximum Amount

Folio No. Mobile No.

Reference APPLICATION NUMBER Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD From To Or Until Cancelled
Signature Primary Account holder Signature of Account holder Signature of Account holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participation in NACH/ECS/SI/Auto Debit. I/We hereby confirm adherence to the terms of EASY PAY facility offered by ICICI Prudential Asset Management Company Limited (the AMC) and as amended from time to time and of NACH/ECS/SI/Auto Debit. **Authorisation to Bank: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account. I/We have understood that I/we authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorized the debit.** This is to inform that I/we have registered for NACH/ECS/SI/Auto Debit facility and that my/our payment towards my/our investment in ICICI Prudential Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We authorize the bank to debit my/our account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable.

ACKNOWLEDGEMENT - ICICI Prudential Mutual Fund - SIP TOP-UP FACILITY FOR EXISTING INVESTORS

Name of the Investor:

FOLIO No.

Scheme Name, Plan & Option:

Top-Up Frequency (✓): Half Yearly Yearly

SIP Top-Up Amount: ₹ Top-Up Cap Amount: ₹

OR Top-Up Cap Year:

SIP TOP UP FACILITY IN EXISTING SIP - TERMS AND CONDITIONS

This facility will allow investor to opt for TOP-UP in their existing SIP, which are routed through Direct Debit and ECS mode only.

Incase, an investor has more than one existing SIP in a single folio in the same scheme with a single distributor, unless specifically instructed by the investor, the top-up request shall be considered for the first registered SIP.

Investors/unit holders subscribing for this facility are required to submit the request at least 30 days prior to the SIP date. TOP-UP will be applicable from next effective SIP installment.

- (a) Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals.
- (b) The TOP UP amount has to be in multiples of Rs. 500 only.
- (c) The frequency is fixed at Yearly and Half Yearly basis.
- (d) In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

Please see the illustration below to know how to calculate SIP Top-Up amount:

<ul style="list-style-type: none"> • SIP Tenure: 07Jan 2015 to 07 Dec 2016 • TopUp Amount: Rs.1000/- 		<ul style="list-style-type: none"> • Monthly SIP Installment: Rs. 2000/- • TopUp Frequency: 6 months 			
Installment No(s)	From Date	To Date	Monthly SIP Installment Amount (₹)	SIP Top-Up Amount (₹)	Increased Monthly SIP Amount (₹)
1 to 6	7-Jan-15	7-Jun-15	2,000	N.A.	2,000
7 to 12	7-Jul-15	7-Dec-15	2,000	1,000	3,000
13 to 18	7-Jan-16	7-Jun-16	3,000	1,000	4,000
19 to 24	7-Jul-16	7-Dec-16	4,000	1,000	5,000

- (e) SIP TOP UP will be allowed in Micro SIP folio subject to condition that total investment including SIP TOP UP does not exceed Rs. 50000/- in a rolling 12 month period or financial year i.e. April to March, the limit on Micro SIP investments.
- (f) **Maximum amount:** It is the upper most limit per transaction set by investor for his registered bank A/c to be debited.
- (g) **TOP-UP CAP**
 - (i) **Cap Amount:** Investor has an option to freeze the SIP TOP UP amount once it reaches a fixed predefined amount.
 - (ii) **Cap Month-Year:** It is the date from which SIP Top-Up amount will cease and last SIP installment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.

Investor has an option to choose either TOP-UP CAP Amount OR TOP-UP CAP Month-Year. In case of multiple selection TOP-UP CAP amount will be considered as default.

SIP Top-Up Cap Amount should be same as the maximum amount mentioned in the bank mandate. In case of discrepancy between the CAP amount and the maximum amount, then whichever is lower shall be considered as the default amount of SIP till the end of SIP tenure.

- (h) Investor will have to furnish existing SIP details to avail Top-Up facility in that partifular SIP.
- (i) Incomplete forms and the forms with incorrect details will be rejected. AMC reserves to call and rectify the SIP.

Separate form has to be used for each SIP.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.